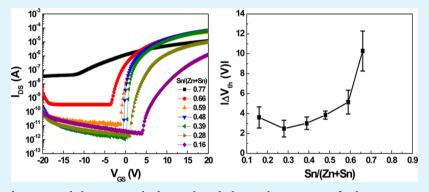
# Impact of the Cation Composition on the Electrical Performance of Solution-Processed Zinc Tin Oxide Thin-Film Transistors

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**Supporting Information** 



**ABSTRACT:** This study examined the structural, chemical, and electrical properties of solution-processed (Zn,Sn)O<sub>3</sub> (ZTO) films with various Sn/[Zn+Sn] ratios for potential applications to large-area flat panel displays. ZTO films with a Zn-rich composition had a polycrystalline wurtzite structure. On the other hand, the Sn-rich ZTO films exhibited a rutile structure, where the Zn atom was speculated to replace the Sn site, thereby acting as an acceptor. In the intermediate composition regions (Sn/ [Zn+Sn] ratio from 0.28 to 0.48), the ZTO films had an amorphous structure, even after annealing at 450 °C. The electrical transport properties and photobias stability of ZTO thin film transistors (TFTs) were also examined according to the Sn/[Zn+Sn] ratio of 0.48. The mobility, threshold voltage, subthreshold swing, and on/off current ratio were 4.3 cm<sup>2</sup>/(V s), 0 V, 0.4 V/ decade, and 4.1 × 10<sup>7</sup>, respectively. In contrast, the device performance for the ZTO TFTs with either a higher or lower Sn concentration suffered from low mobility and a high off-state current, respectively. The photoelectrical stress measurements showed that the photobias stability of the ZTO TFTs was improved substantially when the ZTO semiconducting films had a lower oxygen vacancy concentration and an amorphous structure. The relevant rationale is discussed based on the phototransition and subsequent migration mechanism from neutral to positively charged oxygen vacancies.

KEYWORDS: zinc tin oxide, thin film transistor, solution process, mobility, stability

## 1. INTRODUCTION

Metal-oxide (MO) thin-film transistors (TFTs) have attracted considerable interest as a strong candidate for realizing flexible, transparent, large-area, low-cost electronic devices, including active-matrix liquid crystal displays (LCDs), organic-light emitting diodes (OLEDs), electronic paper, and smart identification cards. These emerging applications are enabled by the promising properties of oxide-based TFTs, including high mobility, good transparency, low processing temperature, and reasonable stability compared to amorphous silicon TFTs.<sup>1</sup> MO TFTs are fabricated mainly using vacuum-based deposition processes, such as magnetron sputtering,<sup>2</sup> pulsed laser deposition (PLD),<sup>3</sup> and atomic layer deposition (ALD).<sup>4</sup> However, most vacuum-based processes are expected to have some problems in obtaining the compatibility with very large

flat panel displays, because of the very high cost of fabrication. Solution-based processes have become a viable alternative to vacuum-based processes, because they offer process simplicity, low manufacturing cost, and potentially high throughput.<sup>5,6</sup>

The most researched thin-film material for TFT applications is InGaZnO<sub>4</sub> (IGZO), which has a high carrier (electron) mobility ( $\mu_e$ ) (>10 cm<sup>2</sup>V<sup>-1</sup>s<sup>-1</sup>, for 50 nm thick film), even in an amorphous material, which is generally not the case for other materials, such as amorphous Si ( $\mu_e < 1 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ , for a film ~150 nm thick). According to these primary merits of IGZO, ultrahigh-resolution LCDs and large-area OLED products

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adopting IGZO-TFTs are commercially available.<sup>7</sup> The high overlap of In 5s orbitals, which mainly constitute the conduction band of the amorphous IGZO network, and their nondirectionality are responsible for the high  $\mu_e$  in this material.<sup>1,8</sup> The In atom plays the role as a carrier concentration and mobility enhancer, whereas Ga generally plays the opposite role. This can be attributed to the weak and strong binding of In-O bonds and Ga-O bonds, respectively. Therefore, appropriate control of the In/Ga ratio is the most critical parameter for achieving the desired properties from the IGZO-TFTs.<sup>9,10</sup> Nevertheless, the complicated composition of IGZO makes precise control of the composition and oxygen stoichiometry over a very large area difficult. In addition, the rarity of In and Ga has revitalized the exploration of zinc tin oxide (ZTO) for use in the channel layer of TMO TFTs. The Sn 5s orbital in ZTO plays a role similar to that of the In 5s orbital in IGZO.<sup>11</sup> Therefore, many studies have reported the effects of the chemical precursor, composition, and annealing temperature of ZTO-based films. The transport properties of the resulting ZTO-based TFT devices have also been reported. $^{12-20}$  The earlier compositional effect was studied for the sputtered ZTO TFTs by Hoffman and co-workers.<sup>12</sup> High field-effect mobility ( $\sim 30 \text{ cm}^2/(\text{V s})$ ) and a reasonable on/off  $(I_{\rm on}/I_{\rm off})$  ratio (~10<sup>6</sup>) were achieved for the TFTs with the channel composition of Zn:Sn = 50:50 at annealing temperatures ranging from 400 °C to 600 °C. The in-depth combinatorial study of ZTO TFTs was reported by McDowell et al. They fabricated the ZTO TFTs with various Zn:Sn ratio by cosputtering the ZnO and SnO<sub>2</sub> target.<sup>13</sup> Two maximum mobilities of 10-12 cm<sup>2</sup>/(V s) and 8-11 cm<sup>2</sup>/(V s) were obtained for TFTs with the cation composition of Zn:Sn = 25:75 and 80:20, respectively, which contradicted the earlier result reported by Hoffman et al. The solution-based process is desirable for the low-cost and mass production of large-area devices, as previously mentioned. In this regard, Jeong et al. reported the effects of the incorporated Sn concentration on the transfer characteristics of solution-processed ZTO TFTs with a channel layer ~35 nm thick.<sup>17</sup> The  $\mu_e$  and off-state current of the ZTO TFTs increased with increasing Sn concentration, which was attributed to the donor-like behavior of Sn atoms in a semiconducting ZTO film. Kim et al. examined the effects of the Sn concentration on the drain current-gate voltage  $(I_{DS}-V_{GS})$  performance and electrical stability of solution-processed ZTO TFTs with channel layers 30-40 nm thick under dark conditions.<sup>18</sup> They reported that the dark condition stability of the TFTs degraded with decreasing Sn concentration. This instability was attributed to the electricfield induced oxygen adsorption from the air ambient to the back surface of the ZTO film, which modifies the carrier concentration in the channel layer. Such oxygen adsorption was believed to be enhanced when the zinc concentration was high (Sn concentration was low), because of the higher oxidation potential of Zn than Sn. On the other hand, these studies did not provide any direct information on the donor-like behavior of Sn in the ZTO material. In addition, very little is known regarding the influence of the Sn concentration on the photobias instability of solution-processed ZTO TFTs,<sup>14-20</sup> even though the light-induced instability of MO TFTs is a technically important issue.

This study examined the effects of the Sn concentration on the various physical properties of the ZTO films, as well as the accompanying transport properties and photobias instability of solution-processed ZTO TFTs. The structural and chemical properties of ZTO films were strongly dependent on the Sn concentration. The ZTO films with Zn-rich and Sn-rich compositions crystallized with the wurtzite and rutile structures, respectively, after annealing at 450 °C for 1 h in air, whereas the ZTO films with a Sn/Zn ratio  $\sim$ 1 maintained the amorphous structure. The Sn in a ZTO film with a Zn-rich composition was identified as a carrier generator (donor center). In contrast, the Zn atoms in the rutile-structured ZTO films with a Sn-rich composition work as an acceptor center. The optimal photobias stability of the ZTO TFTs was determined from the microstructure of the ZTO film rather than from the chemical defects of ZTO films.

#### 2. EXPERIMENTAL PROCEDURE

The precursor solution was prepared by dissolving zinc acetate [Zn(CH<sub>3</sub>COO)<sub>2</sub>, Aldrich] and tin(II) chloride dihydrate [SnCl<sub>2</sub>·2H<sub>2</sub>O, Aldrich], respectively, in 2-methoxy ethanol solvent. The total concentration of the metal precursor in the solution was fixed to 0.3 M, and the molar ratio of  $\mbox{Sn}/[\mbox{Zn+Sn}]$ was varied from 0.2 to 0.9. The precursor solution was stirred for 1 h at 60 °C and filtered through a 0.22  $\mu$ m syringe filter before spin coating. Heavily doped p-type Si and a 100-nmthick thermally grown SiO<sub>2</sub> layer were used as a substrate and a gate oxide in the ZTO TFTs, respectively. The substrate was cleaned sequentially with acetone, isopropanol, and deionized water for 10 min, followed by exposure to ultraviolet light and ozone for 20 min to produce a hydrophilic surface. The ZTO solutions were spin-coated at 500 rpm for 5 s and then at 2000 rpm for 30 s. The resulting ZTO precursor film was dried at 150 °C for 10 min to evaporate the solvent and then annealed at temperatures at 450 °C for 1 h in air. The thickness of the ZTO film after the thermal annealing was 22-29 nm. Tindoped indium oxide (ITO), as the source and drain electrodes (S/D), was deposited by dc magnetron sputtering and patterned through a metal shadow mask. The width (W) and length (L) of the fabricated device was 1000 and 300  $\mu$ m, respectively. Finally, the fabricated device was encapsulated with poly(methyl methacrylate) (PMMA, MicroChem A4) to prevent dynamic interactions between the channel layer and ambient oxygen/moisture.

The chemical compositions of the ZTO films were examined by X-ray fluorescence (XRF, Thermo Scientific) spectroscopy, for which the atomic concentrations were calibrated by protoninduced X-ray emission. The structural properties of the ZTO films were evaluated by grazing incidence X-ray diffraction (GIXRD, X'Pert PRO, PANalytical) using Cu K $\alpha$  radiation and high-resolution transmission electron microscopy (HRTEM, FEI, Tecnai F20). The chemical state of the ZTO films was examined by X-ray photoelectron spectroscopy (XPS, SIGMA PROBE, ThermoVG). For XPS analysis, the ZTO films were inserted in the XPS chamber within 30 min after the postannealing process at 450 °C. The back surface region ( $\sim 5$  nm) of ZTO films was etched by the plasma in situ etching in XPS chamber to remove the surface contamination. The Sn/[Zn +Sn ratios of the surface layer of ZTO films were identical within the error range of XPS measurement to those of the bulk ZTO films. In this study, the O 1s XP spectra were deconvoluted into three asymmetric Lorenzian-Gaussian peaks with Shirley background. The curve-fitting were performed for all spectra with the full width at half-maximum (fhwm) and the ratio of Gaussian to Lorentzian parameters fixed as constant, while allowing the peak binding energies and areas to vary. The carrier density of the ZTO film was evaluated

from Hall effect measurements using the van der Pauw configuration. The electrical characteristics were measured using a Model HP 4155A (Agilent) semiconductor parameter analyzer at room temperature in air.

#### 3. RESULTS AND DISCUSSION

Figure 1 shows the GIXRD patterns of the ZTO films with various Sn concentrations. The ZnO and  $SnO_2$  phases were

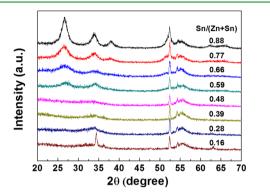


Figure 1. XRD patterns of the ZTO thin films as a function of the incorporated Sn concentration.

clearly seen at the low and high Sn contents, respectively. First, the ZTO film with an Sn/[Zn+Sn] ratio of 0.16 has a sharp peak at  $2\theta = 34.35^{\circ}$ , except for the (311) plane at  $54.5^{\circ}$  from the Si substrate, indicating that it has a polycrystalline structure with a preferential orientation. Crystalline ZnO has a wurtzite structure, which belongs to the hexagonal system ( $P6_3mc$ , No. 186).<sup>21</sup> In the case of pure ZnO, the (002) peak was expected to appear at  $2\theta \approx 34.44^{\circ}$ . Therefore, the peak of the ZTO film at an Sn/[Zn+Sn] ratio of 0.16 was assigned to the (002) reflection of wurtzite ZnO. The ~0.09° smaller  $2\theta$  angle of the (002) reflection for this ZTO film can be explained by the substitutional doping of Sn atoms in ZnO. Sn<sup>4+</sup> has a larger ionic radius (69 pm for a coordination number of 6) than Zn<sup>2+</sup> (60 pm for a coordination number of 4). The increasing Sn concentration in the range of the Sn/[Zn+Sn] ratio from 0.28 to 0.48 resulted in amorphous film. The diffuse scattering peak attributed to the amorphous phase near  $\sim 33^{\circ}$  shifted toward lower  $2\theta$  angles, suggesting an expansion in the average cationoxygen distance. This can be understood from the higher doping concentration of Sn<sup>4+</sup> with a larger ionic radius. In contrast, the Sn-rich ZTO films  $(Sn/[Zn+Sn] \ge 0.59)$  showed the typical diffraction patterns from polycrystalline materials with no preferred growth direction. For example, the ZTO film with a Sn/[Zn+Sn] ratio of 0.88 had three diffraction peaks, corresponding to the (110), (101), and (200) reflections of rutile SnO2. In the Sn-rich region, the intensities of these diffraction peaks increased gradually and the fwhm decreased with increasing Sn concentration in the ZTO films. This suggests that the effective grain size of the ZTO film increases with increasing Sn concentration. The mean crystallite size (d)of these samples can be estimated using the fwhm of the (002)peak of wurtzite ZnO and the (110) peak based on Scherrer's formula:<sup>22</sup>

$$d = \frac{0.9\lambda}{B\cos\theta_{\rm B}} \tag{1}$$

where  $\lambda$  is the X-ray wavelength (1.541 Å, Cu K $\alpha$  radiation),  $\theta_{\rm B}$ is the Bragg diffraction angle, and B is the fwhm of the (002) and (110) peaks. The mean crystallite size of the ZTO film increased from 1.64 nm to 4.59 nm with increasing Sn concentration from 0.59 to 0.88, whereas the average grain size of the Sn-deficient ZTO film at the Sn/[Zn+Sn] ratio of 0.16 was estimated to be larger (~9.8 nm). The tendency of amorphous film formation in the intermediate compositions of the Sn/[Zn+Sn] ratio from 0.28 to 0.48 would be attributed to the larger nucleation and diffusion energy barriers due to the different crystallographic arrangement between wurzite and rutile crystal structure. The wurzite ZnO structure is based on the corner sharing of tetrahedral ZnO<sub>4</sub>, while the rutile SnO<sub>2</sub> consists of the edge-sharing SnO<sub>6</sub> octahedra. Thus, the formation of intermixed ilmenite ZnSnO3 between two different crystal structures was reported to require the high annealing temperature (~650 °C).<sup>15</sup>

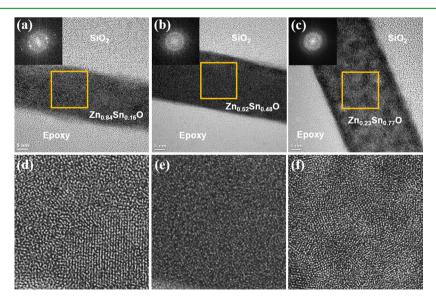


Figure 2. (Upper panels) Cross-section TEM images of ZTO thin films with a Sn/[Zn+Sn] ratio of (a) 0.16, (b) 0.48, and (c) 0.77 (the selected area diffraction pattern of each film is shown as an inset in each panel). (Lower panels) High-resolution image of ZTO films with a Sn/[Zn+Sn] of (d) 0.16, (e) 0.48, and (f) 0.77.

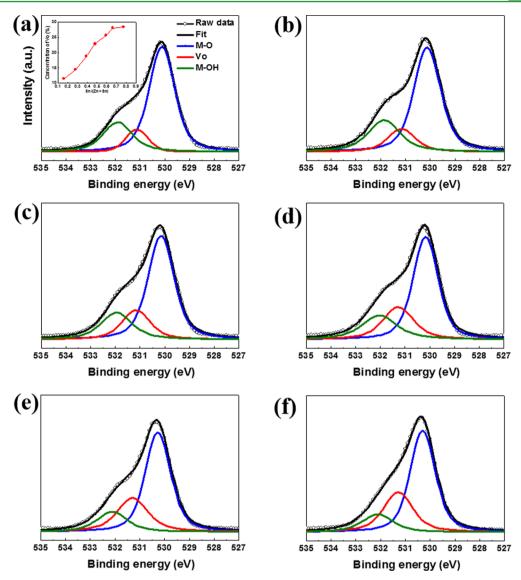


Figure 3. O 1s XP spectra and their deconvolution results of the ZTO films with a Sn/[Zn+Sn] ratio of (a) 0.16, (b) 0.28, (c) 0.39, (d) 0.48, (e) 0.59, and (f) 0.66. Inset in panel a shows the variation of  $V_O$  peak ratio as a function of Sn/[Zn+Sn].

The microstructural evolution of the ZTO thin films as a function of the Sn concentration was investigated further by HRTEM. Figure 2 shows the cross-sectional TEM images of the ZTO films with Sn/[Zn+Sn] ratios of 0.16, 0.48, and 0.77. The ZTO films with a Sn/[Zn+Sn] ratio of 0.48 were amorphous, which can be also confirmed by the amorphous halo ring in the selected area diffraction pattern. The amorphous nature of this ZTO film was observed in all scanned electron transparent region, indicating the complete amorphous phase of the ZTO films with Sn/[Zn+Sn] ratio of 0.48. In contrast, the ZTO films with Sn/[Zn+Sn] ratios of 0.16 and 0.77 showed lattice fringes and the presence of grain boundaries, which is consistent with the GIXRD data.

The chemical states of the ZTO films with different Sn concentrations were examined by XPS. Figure 3 shows the O 1s XP spectra of the ZTO films with Sn/[Zn+Sn] ratios ranging from 0.16 to 0.66. The photoelectron binding energies were calibrated to the C 1s peak for the C–C bonds at 284.5 eV. The O 1s peak was deconvoluted into three peaks at 530.2, 531.2, and 531.9 eV.<sup>23,24</sup> The O 1s peaks centered at 530.2 eV was assigned to the oxygen bonded to fully coordinated metal

ions (tetrahedrally coordinated in the case of Zn and octahedrally coordinated in the case of Sn) and the peak at 531.2 eV was assigned to the oxygen bonded to undercoordinated metal ions. In a crystal, the latter peak is associated with the oxygen vacancies  $(V_{0})$ . On the other hand, the oxygen vacancy cannot be strictly defined in the amorphous material, because of the lack of long-range order. However, for the sake of simplicity, the oxygen associated with under-coordinated metal ions will be referred as the Vo-related O 1s, even in amorphous phase ZTO film. The peak at 531.9 eV was assigned to impurity-related oxygen, such as hydroxyl groups. It is noted that the different assignment of O 1s XP spectra has been proposed, where the intermediate peak of O 1s XP spectra was assigned to the metal hydroxide.<sup>6,25,26</sup> In particular, Rajachidambaram et al. found that the intermediate peak of O 1s decreased substantially after the in situ high-temperature annealing at 550 °C in a vacuum chamber ( $P_{O_2} = 10^{-6}$ Torr).<sup>25</sup> During high-temperature vacuum annealing, the adsorbed species on the ZTO surface are likely to be desorbed. However, it is also expected that the ZTO film become denser, because of the viscous flow at high-temperature annealing,

Table 1. O 1s Peaks Deconvoluted from the XP Spectra of the Various ZTO Films with Different Sn/(Zn+Sn) Ratios. the Error Bar Denotes the Sample-to-Sample Variations of the Corresponding Peak Positions

	O 1s Peak [eV]							
Sn/(Zn+Sn)	lattice oxygen $[O_0](530.2 \pm 0.1 \text{ eV})$	oxygen deficient [V <sub>0</sub> ](531.2 $\pm$ 0.1 eV)	Hydroxyl(531.9 $\pm$ 0.1 eV)					
0.77	0.58	0.28	0.14					
0.66	0.59	0.28	0.13					
0.59	0.59	0.26	0.15					
0.48	0.57	0.23	0.20					
0.39	0.62	0.19	0.20					
0.28	0.63	0.15	0.22					
0.16	0.66	0.12	0.22					

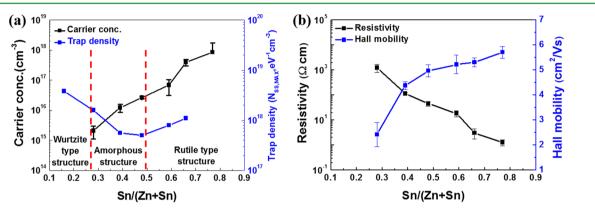


Figure 4. Variation of (a) the carrier concentration and trap density and (b) the resistivity and Hall mobility for the ZTO films, as a function of the Sn concentration.

which was not considered in their work. The densification would reduce the concentration of  $V_0$  in the ZTO film.<sup>27,28</sup> Therefore, exact assignment of the O 1s XP spectra needs further theoretical and experimental verification.

The relative areas of the oxygen vacancy  $(V_0)$ -related peak increased monotonically with increasing Sn/[Zn+Sn] ratio, as shown in Figure 3 and Table 1. The definition of  $V_{O}$  in amorphous material could be controversial. Therefore, Vo in this work corresponds to the broken bond with metal ions (or less-coordinated metal ions) and related local structural distortion. The area of the Vo-related peak area ratio for the ZTO film increased from 11.5% at a Sn/[Zn+Sn] ratio of 0.16 to 28.4% at a Sn/[Zn+Sn] ratio of 0.77. The inset in Figure 3a shows the variations in the relative area ratio of the Vo-related O 1s, as a function of the Sn concentration. Because the Sn-O bond is weaker than the Zn-O bond, Vo formation is enhanced with increasing Sn concentration in the ZTO film.  $^{29,30}$  The role of  $V_{\rm O}$  in the electrical properties of the MO TFTs is controversial. Vo can act as either a shallow donor center or a deep level trap, which depends on the species and coordination number (CN) of cations near the V<sub>0</sub>.<sup>31</sup> At a fixed Sn concentration, the free electron density  $(n_e)$  of the ZTO film can be controlled experimentally by adjusting the oxygen ratio,  $3^{2-34}$  suggesting that some of the V<sub>O</sub> are indeed shallow donors. Therefore, it is expected that the  $n_e$  value of the ZTO film increases with increasing Sn concentration.

While the O 1s XP spectra of ZTO films was strongly dependent on the Sn concentration, the binding energy and shape of Sn  $3d_{5/2}$  XP spectra were relatively independent of the Sn concentration, as shown in Figure S1 in the Supporting Information (SI). The binding energy of the Sn  $3d_{5/2}$  XP spectra peak was located at 486.5–486.6 eV, indicating that the Sn ions in the ZTO films are present as mostly Sn<sup>4+</sup>. On the

other hand, the concentration of OH and Cl impurities in the ZTO films decreased gradually with increasing Sn concentration, as shown in Table 1 and Figure S2 in the SI. In this study, the zinc acetate and tin chloride were used as the Zn and Sn atomic precursors, respectively. These results suggest that the hydrolysis and condensation reaction of metal complex, which is required to form the M-O-M lattice, are mainly limited by thermal decomposition of acetate in the Zn precursor rather than chlorine in the Sn precursor. Otherwise, the Cl concentration. Therefore, the increasing residual impurities such as metal hydroxide and Cl in the ZTO films with increasing Zn concentration can be attributed to the inefficient decomposition of organic acetate zinc precursor during the thermal annealing.

Figure 4 shows the change in  $n_{e}$  resistivity, and Hall mobility estimated from the Hall measurements for the ZTO films as a function of the Sn concentration. The Hall data could not be measured for ZTO film with Sn/[Zn+Sn] = 0.16, because of the high electrical resistivity of ZTO film. The  $n_e$  increased with increasing Sn concentration, which is consistent with the aforementioned simple hypothesis. The Hall mobility of the ZTO films increased monotonically with increasing Sn concentration, which can be explained by the percolation conduction mechanism. Therefore, the resistivity of the ZTO films decreased substantially with increasing Sn concentration, as shown in Figure 4b. Interestingly, the  $n_e$  value of the ZTO film increased ~400 fold (from  $2.1 \times 10^{15}$  to  $8.5 \times 10^{17}$  cm<sup>-3</sup>) with increasing the Sn/[Zn+Sn] ratio from 0.28 to 0.77, whereas the V<sub>O</sub> concentration of the ZTO film estimated by XPS increased  $\sim$ 2-fold. This suggests that the ratio of a shallow V<sub>O</sub> to a deep V<sub>O</sub> depends on the Sn composition. The several tens % of V<sub>O</sub> detected by XPS corresponds to a vacancy density

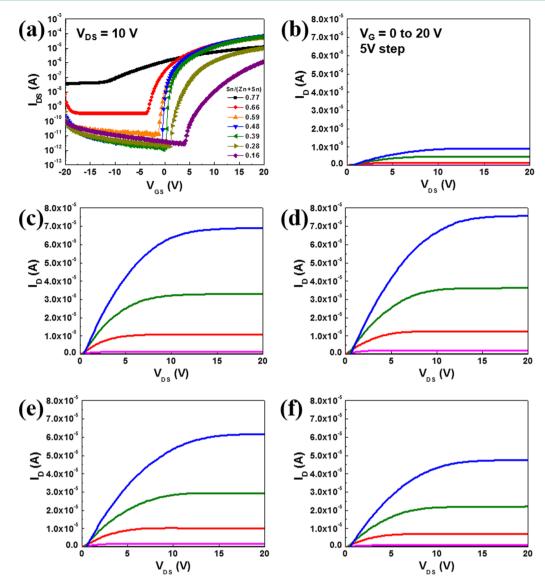


Figure 5. (a) Representative transfer characteristics of the ZTO TFTs for the different Sn concentrations. ALso shown are the output characteristics of the ZTO TFTs at Sn/[Zn+Sn] ratios of (b) 0.28, (c) 0.39, (d) 0.48, (e) 0.59, and (f) 0.66.

on the order of  $10^{21}-10^{22}$  cm<sup>-3</sup>. Such a high vacancy concentration does not represent the bulk of the film, because XPS mostly probes the chemical structure of a defective film surface. Nevertheless, the consistent trend in Vo with the Sn/ [Zn+Sn] ratio suggests that the variation in  $n_e$  has a relationship with the V<sub>O</sub> states. The different energy levels of V<sub>O</sub> within the band gap of the ZTO film for different Sn/[Zn+Sn] ratios, rather than its concentration itself, are more responsible for the variation in  $n_e$ . The higher V<sub>O</sub> concentration, in the film with a high Sn/[Zn+Sn] ratio introduces abundant shallow levels, resulting in a higher  $n_e$ . The energy levels of the V<sub>O</sub> in the band gap of ZTO could vary according to the chemical environment near  $V_0$ .  $V_0$  with a higher CN of Sn has a shallow level, compared to  $V_0$  with a higher CN of Zn.<sup>8,35</sup> Therefore, with increasing Sn concentration, the energy level of Vo in the ZTO film becomes shallower (lower trap depth), resulting in an exponential increase in carrier generation with increasing Sn concentration, which corroborates the results shown in Figure 4a. On the other hand, this interpretation is valid only for the case where the host ZTO maintains an identical crystal (or amorphous) structure throughout the entire Sn concentration

range. As observed by GIXRD and HRTEM, the ZTO films have a ZnO-like wurtzite structure, an amorphous structure, and SnO<sub>2</sub>-like rutile structure, respectively, with increasing Sn concentration. This suggests that the aforementioned explanation for a higher  $n_e$  with increasing Sn concentration might be valid only for amorphous ZTO materials with the Sn/[Zn+Sn] ratios from 0.28 to 0.48, because the CN of an amorphous phase can vary almost linearly with the Sn concentration. In crystalline materials, the CN of V<sub>O</sub> must be restricted by the structure of the specific crystal. Therefore, in crystalline materials, the following explanation must be more reasonable for the variation of  $n_e$  with Sn concentration.

The ZTO films with a high Sn/[Zn+Sn] ratios from 0.59 to 0.88 had a rutile  $SnO_2$ -like crystal structure, meaning that Zn atoms in this material are impurities. The Zn atom can substitute for the Sn site in the rutile ZTO film or be present as interstitial ions. The substitutional Zn  $(Zn''_{Sn})$  can act as an acceptor center. On the other hand, Hall measurements showed that these films also have an *n*-type nature, suggesting that the majority carriers are electrons, which must be produced mainly by  $V_O$  formation. The highest Sn concentration in these

 $0.3 \pm 0.1$ 

0.77

Sn/(Zn+Sn)	$\mu_{\rm FE}~({ m cm}^2/({ m V~s}))$	SS (V/decade)	$V_{\rm th}~({ m V})$	$I_{\rm on}/I_{\rm off}$	$D_{\rm it,max}~({\rm eV^{-1}cm^{-2}})$	$N_{\rm SS,max}~({\rm eV^{-1}cm^{-3}})$	$\Delta V_{\mathrm{th}}$ (V)
0.16	$0.5 \pm 0.1$	$2.8 \pm 0.24$	8.5 ± 1.6	$4.6 \times 10^{5}$	$9.6 \times 10^{12}$	$3.8 \times 10^{18}$	-3.6
0.28	$0.9 \pm 0.1$	$1.3 \pm 0.08$	$3.1 \pm 1.0$	$5.6 \times 10^{6}$	$4.4 \times 10^{12}$	$1.6 \times 10^{18}$	-2.4
0.39	$4.0 \pm 0.1$	$0.4 \pm 0.03$	$0.8 \pm 0.6$	$5.9 \times 10^{7}$	$1.5 \times 10^{12}$	$5.6 \times 10^{17}$	-3.0
0.48	$4.3 \pm 0.3$	$0.4 \pm 0.02$	$0.0 \pm 0.3$	$4.1 \times 10^{7}$	$1.5 \times 10^{12}$	$5.0 \times 10^{17}$	-3.9
0.59	$3.3 \pm 0.3$	$0.7 \pm 0.02$	$-0.3 \pm 0.1$	$5.1 \times 10^{6}$	$2.4 \times 10^{12}$	$8.0 \times 10^{17}$	-6.4
0.66	$3.1 \pm 0.2$	$1.1 \pm 0.04$	$-2.7 \pm 0.7$	$2.0 \times 10^{5}$	$3.6 \times 10^{12}$	$1.1 \times 10^{18}$	-10.3

 $3.6 \times 10^{2}$ 

Table 2. Device Parameters Including  $\mu_{\text{FE}}$  SS,  $V_{\text{th}}$ ,  $I_{\text{on}}/I_{\text{off}}$ ,  $D_{\text{it,max}}$ ,  $N_{\text{SS,max}}$ , and  $\Delta V_{\text{th}}$  of the Various ZTO TFTs

ZTO films coincides with the highest tendency of V<sub>O</sub> formation due to the weaker Sn-O bonds, compared to Zn-O bonds. Therefore,  $Zn_{Sn}^{\prime\prime}$  decreases the electron concentration by trapping them. Again, the larger decrease in carrier concentration (from  $8.5 \times 10^{17}$  cm<sup>-3</sup> to  $3.9 \times 10^{17}$  cm<sup>-3</sup>) when the Sn/[Zn+Sn] ratio is decreased from 0.77 to 0.66 (see Figure 4a) showed that the major contribution to the carrier concentration is the V<sub>O</sub> concentration.

Figure 5a shows the representative transfer characteristic curves ( $I_{\rm DS}$  vs  $V_{\rm GS}$ ) of the ZTO TFTs with different Sn concentrations when the drain voltage  $(V_{\rm DS})$  was set to 10 V. The field-effect carrier mobility  $(\mu_{\rm FE})$  was determined from the slope of the  $I_{\rm DS}^{1/2}$  vs  $V_{\rm GS}$  plot using the following equation:

$$I_{\rm DS} = \left(\frac{WC_i}{2L}\right) \mu_{\rm FE} (V_{\rm GS} - V_{\rm th})^2 \tag{2}$$

where L is the channel length, W the width, and  $C_i$  the gate capacitance per unit area. The threshold voltage  $(V_{th})$  was defined as the gate voltage  $(V_{GS})$  that induces a drain current of  $L/W \times 10$  nA at  $V_{DS} = 10$  V. The subthreshold swing (SS = dV<sub>GS</sub>/d logI<sub>DS</sub> [V/decade]) was extracted from the linear part of the  $log(I_{DS})$  vs  $V_{GS}$  plot. The fast bulk traps  $(N_{SS})$  and semiconductor-insulator interfacial traps  $(D_{it})$  were calculated using the following equation:<sup>36</sup>

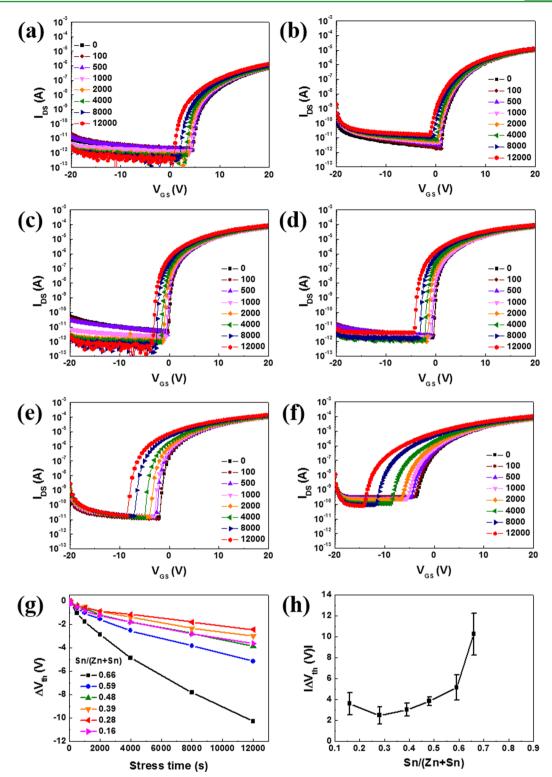
$$SS = \frac{qk_{\rm B}T(N_{\rm SS}t_{\rm ch} + D_{\rm it})}{C_i\log(e)}$$
(3)

where q is the electron charge,  $k_{\rm B}$  the Boltzmann constant, Tthe absolute temperature, and  $t_{\rm ch}$  the channel layer thickness.  $N_{\rm SS}$  and  $D_{\rm it}$  in the ZTO TFTs were calculated by setting one of parameters to zero. Therefore, the  $N_{\rm SS}$  and  $D_{\rm it}$  values must be considered the maximum trap density formed in a given system. The carrier transport properties and current modulation capability of the devices were strongly dependent on the Sn concentration in the channel layer. The TFTs with Sn/[Zn +Sn] ratios of 0.16 and 0.28 commonly showed a low "on" current  $(I_{on})$  in the highly positive  $V_{GS}$  region, whereas the "off" current  $(I_{\rm off})$  in the negative  $V_{\rm GS}$  region was sufficiently low. This is related primarily to the very low carrier concentration (Figure 4) for these cases. The  $I_{\rm on}/I_{\rm off}$  ratio was only  $4.5 \times 10^5$ ,  $\mu_{\rm FE}$  was 0.5 cm<sup>2</sup>/(V s), and  $V_{\rm th}$  was as high as 8.5 V in the case of Sn/[Zn+Sn] = 0.16 (see Figure 5a and Table 2). With increasing Sn/[Zn+Sn] ratio of up to 0.48, the  $\mu_{\text{FE}}$ ,  $V_{\text{th}}$ , SS, and  $I_{\rm op}/I_{\rm off}$  ratio values of the device were improved substantially to 4.3 cm<sup>2</sup>/(V s), 0 V, 0.4 V/decade, and 4.1  $\times$  10<sup>7</sup>, respectively (see Table 2). Generally, the carrier transport in the conduction band of an amorphous ZTO film is dominated by the percolated path composed of Sn 5s orbitals, which must be improved by the higher Sn concentration in the ZTO film. On the other hand, in amorphous materials, the lower edge of the conduction band is not as clearly defined as that of the

crystalline material. The lower edge region of the conduction band in amorphous semiconductor oxides is largely due to localized states, which form a mobility edge.<sup>37</sup> Under these circumstances, the higher carrier concentration is beneficial for achieving a higher apparent  $\mu_{\rm FE}$ . This is because the localized states with lower energy levels are filled with some of the carriers first, and the remaining carriers are transported via the states residing relatively far above the mobility edge.9,38 Therefore, the enhancement of the device parameters in the Sn-deficient composition range is a reflection of the increasing  $n_e$  with increasing Sn doping level, which originates from either the creation of a shallow  $V_{O}$  center or the reduced  $N_{SS}$  value. When the Sn concentration was larger than a Sn/[Zn+Sn] ratio of 0.59, the  $\mu_{\rm FE}$  of the resulting device deteriorated again. For example, the device with a Sn/[Zn+Sn] ratio of 0.66 showed a low  $\mu_{\rm FE}$  of 3.1 cm<sup>2</sup>/(V s), even though its channel layer had a higher  $n_e$  of  $3.9 \times 10^{17}$  cm<sup>-3</sup>. The Sn concentration-dependent mobility variation of the solution-processed ZTO devices was also observed clearly in the output characteristics (see Figures 5b-5f). The microstructure transition of the ZTO film from the amorphous phase to the polycrystalline state is likely to be responsible for this adverse effect. The two-dimensional (2D) defect states in the grain boundary of a polycrystalline rutile ZTO film can act as a tailing state below the conduction band minima, which cause the additional scattering of charge carriers, leading to a decrease in  $\mu_{\text{FE}}$ . The TFT with a Sn/[Zn+Sn] ratio greater than ~0.66 generally showed high  $I_{\rm off}$  in the negative  $V_{\rm GS}$  region with highly negatively shifted  $V_{\rm th}$  values. The TFT with the highest Sn/[Zn+Sn] ratio of 0.77 could not be turned off, even with a high negative  $V_{GS}$ . This can be attributed to the excessively high carrier concentration and high defect density in these channel materials.

It is interesting to note that the trap density of the ZTO TFTs exhibited the U-shape curve and the minimum value of  $5.0 \times 10^{17}$  was observed for the device at the Sn/[Zn+Sn] of 0.48 (see Figure 4a). As previously mentioned, the residual impurities originated from the metal precursors decreased gradually with increasing Sn concentration. Because these residual impurities are known to act as the trapping center of charge carriers, the decreasing  $N_{SS}$  values of the ZTO TFTs in the amorphous Sn-deficient composition range partly reflect the reduced impurities with increasing Sn doping level (see Figure 4a). In contrast, the increasing  $N_{SS}$  tendency in the polycrystalline Sn-rich ZTO TFTs can be attributed to the increasing Vo defect and the grain-boundary-related defects density, rather than the variation of residual impurities in the ZTO film.

Finally, the negative bias illumination stress (NBIS) instability of the solution-processed ZTO TFTs with different Sn concentrations was examined. The NBIS instability is an important performance measure because the application of MO TFTs in transparent electronics inevitably involves long



**Figure 6.** Evolution of the transfer characteristics of ZTO TFTs with a Sn/[Zn+Sn] ratio of (a) 0.16, (b) 0.28, (c) 0.39, (d) 0.48, (e) 0.59, and (f) 0.66, as a function of the NBIS time. Also shown are the variations in  $V_{th}$  as a function of (g) the NBIS time and (h) the incorporated Sn concentration.

exposure to light stress on the semiconducting oxide semiconductor films under the negative  $V_{\rm GS}$  condition.<sup>7</sup> The devices exhibited significant instability under the NBIS condition. Figures 6a–f show the evolution of the transfer curves for the various ZTO TFTs (Sn/[Zn+Sn] ratio = 0.16–0.66) for the different NBIS times (up to 12 000 s) under the following conditions:  $V_{\rm GS}$  and  $V_{\rm DS}$  of –20 and 10 V, respectively, at room temperature with an illumination power intensity of ~2.0 mW/ cm<sup>2</sup> and a wavelength of ~500 nm, which was filtered from a white-light halogen lamp. The NBIS-induced  $V_{\rm th}$  variation was dependent on the Sn concentration in the ZTO film. Figure 6g summarizes the variations in  $V_{\rm th}$  of the various TFTs as a function of the stress time, and Figure 6h shows the maximum  $V_{\rm th}$  variation at a stress time of 12 000 s. The most desirable

NBIS stability was achieved from the TFT with a Sn/[Zn+Sn] ratio of 0.28 (the  $V_{\rm th}$  shift was -2.4 V after a stress time of 12 000 s), whereas an increasing or decreasing Sn concentration degraded it. The degradation in the NBIS stability was considerably more severe when the Sn concentration was higher ( $V_{\rm th}$  shift was -10.3 V after a stress time of 12 000 s).

The NBIS induced V<sub>th</sub> instability of the MO TFTs was attributed to photocreated hole trapping,<sup>39</sup> the phototransition of  $V_0$ , and subsequent drift of ionized  $V_0$ ,<sup>40</sup> or the photodesorption of oxygen molecules on the back surface.34 The latter mechanism can be excluded because the ZTO channel layer in this study was encapsulated by the PMMA film. The first hole trapping model is unlikely to be responsible for this observation. The creation of electron and hole pairs via a band-to-band transition was not expected because the energy of photon used as a light source (2.48 eV) is lower than the bandgap energy (3.2-3.8 eV) of the ZTO films. Therefore, the Sn concentration-dependent NBIS instability of the ZTO TFTs seems to be strongly related to the V<sub>O</sub> related mechanism. The deep  $V_0$  defect can be excited to the ionized  $V_0^{2+}$  by the light exposure, which donates two free electrons in the conduction band of oxide semiconductor materials. This causes a negative  $V_{\rm th}$  shift in the resulting devices, even when the measurement was performed under the no illumination condition, because of the very long recombination time of such photoinduced carriers.<sup>41</sup> The photoconversion of  $V_0$  to  $V_0^{2+}$  will be proportional to the concentration of pre-existing Vo. The potential migration of  $V_0^{2+}$  toward the semiconductor/ insulator interface due to the applied NBS in the gate electrode also results in a negative  $V_{\rm th}$  shift. As mentioned previously, the concentration of V<sub>O</sub> in the ZTO film increased monotonously with increasing Sn concentration. Therefore, the amplification of NBIS instability in the ZTO TFTs with increasing Sn concentration, except for the case of the ZTO TFT with Sn/ [Zn+Sn] ratio of 0.16, is consistent with the variation in the V<sub>0</sub> concentration. The unexpected NBIS degradation of the device with Sn/[Zn+Sn] = 0.16 ( $\Delta V_{th} = -3.6$  V), compared to that with Sn/[Sn+Zn] = 0.28 ( $\Delta V_{th} = -2.4$  V) cannot be reconciled with its lower V<sub>O</sub> density. This suggests that another degradation path exists. This deviation is presumably due to the accelerated migration of  $V_0^{2+}$  via the grain boundaries. The (002) columnar polycrystalline structure of the ZTO film with Sn/[Zn+Sn] = 0.16 would provide an effective and easy path for the migration of V<sub>0</sub><sup>2+</sup> defects, because the diffusion of point defects in the grain boundary of a polycrystalline material is generally much faster than that in an amorphous material.<sup>42,43</sup> Indeed, the grain boundary-assisted  $V_0^{2+}$  migration and the accelerated NBIS-induced V<sub>th</sub> degradation was also reported previously in indium zinc oxide TFTs.<sup>44,45</sup>

In the Sn-rich ZTO film with a Sn/[Zn+Sn] ratio of 0.66, the migration of  $V_0^{2+}$  defects is also enhanced by the grain boundaries of the polycrystalline material, which resulted in a huge negative  $V_{\rm th}$  shift of -10.3 V, in addition to the high  $V_0$  concentration. The best transistor performance, in terms of the mobility,  $N_{\rm SS}$ , and  $I_{\rm on}/I_{\rm off}$  ratio, was obtained for the device with Sn/[Zn+Sn] = 0.48, whereas the device with Sn/[Zn+Sn] = 0.28 exhibited the most stable behavior, in terms of the NBIS stability. Therefore, the oxygen vacancy concentration and net carrier density in the ZTO thin film are the important key parameters for the compromise between the performance and photobias stability of the resulting TFTs. In addition, the ZTO channel layer with a discernible polycrystalline structure is

undesirable for a photobias-stable device, even when it has a lower oxygen vacancy concentration.

#### 4. CONCLUSION

The structural, chemical, and electrical properties of the solution-processed zinc tin oxide (ZTO) films were examined at different Sn concentrations. The ZTO films with Sn/[Sn +Zn] ratios ranging from 0.28 to 0.48 exhibited an amorphous phase, whereas the Sn-deficient and excess ZTO films were in a polycrystalline phase with wurtzite and rutile structures, respectively. The  $V_0$  concentration and  $n_e$  values of the ZTO film increased monotonously with increasing Sn concentration. The resulting carrier transport properties of the ZTO TFTs improved as the Sn/[Zn+Sn] ratio was increased to 0.48. The TFT with a Sn/[Zn+Sn] ratio of 0.48 exhibited a mobility, SS,  $V_{\rm th}$ , and  $I_{\rm on}/I_{\rm off}$  of 4.3 cm<sup>2</sup>/(V s), 0.4 V/decade, 0 V, and 4.1 ×  $10^7$ , respectively. These values are generally inferior to the best performance reported for vacuum-processed TFTs with a ZTO channel layer and a similar Sn/[Sn+Zn] ratio, but are still quite useful, considering the inexpensive and simple process flow, which is critical for the economic production of large-area flatpanel displays. In addition, the trend of the variations in the device performance of solution-processed ZTO TFTs according to the Sn/[Zn+Sn] ratio is analogous to that of vacuumprocessed devices, suggesting that the properties reported in this study are genuine to the material. The resistance under the NBIS condition was maximized for the device with Sn/[Zn +Sn] = 0.28, which was determined by both the lower V<sub>0</sub> concentration and amorphous phase of the ZTO films. The Sndeficient and excess ZTO device suffered from a high negative  $V_{\rm th}$  shift under negative bias illumination stress (NBIS) conditions as well as inferior transport properties, which could be attributed to the large defect concentration, such as V<sub>O</sub> or residual impurities and/or polycrystalline phase nature.

# ASSOCIATED CONTENT

#### **Supporting Information**

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# Notes

The authors declare no competing financial interest.

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